

irreversible CV Eox (V)

Figure 1. Plot of reversible oxidation potentials $(E_{1/2})$ obtained from second harmonic alternating current cyclic voltammograms vs irreversible cyclic voltammograms for six amides (Table III). (Courtesy of N. G. Harvey, Duke University.)

in the analogous carbon-centered radicals studied previously. $^{\rm 2}$

Experimental Section

Materials and Acidities. The sources and properties, including pK_{HA} values in DMSO, for these compounds have been described in earlier publications.^{1,4}

Electrochemistry. Research in the laboratory of Professor E. M. Arnett at Duke University has shown that there is a very good correlation ($R^2 = 0.998$; slope = 1.0) between the irreversible

cycliic voltammetric (CV) potentials that we have reported in DMSO for substituted fluorenide ions and the reversible second harmonic alternating current (SHACV) oxidation potentials measured in his laboratory.²⁴ Since the nitranions obtained from parent carboxamides and sulfonamides listed in Table I were among the worst anions that we have seen with regard to fouling the electrode during oxidation potential measurements, and giving broad CV waves, it was important to check our $E_{ox}(A^{-})$ values for these with those obtained by the SHACV technique. On the other hand, the anions derived from hydroxamic acids, carbohydrazides, and their derivatives are all better behaved electrochemically than are the anions derived from the parent carboxamides. The waves are narrow and sharp, and the $E_{ox}(A^{-})$ values, although irreversible, are readily reproducible to within $\pm 30 \text{ mV}$ by independent investigators. The much greater stability of the radicals being formed on oxidation explains the improved electrochemical behavior. The results of SHACV measurements for acetamide, thioacetamide, acetohydroxamic acid, N- and O-methylhydroxamic acids, and acetohydrazide are summarized in Table III.

A comparison of the CV values given in Table III shows that our CV values are all slightly less negative than those measured in Arnett's laboratory, and that these, in turn, are usually less negative than the $E_{1/2}$ values by about 30 mV, as expected. A plot of the reversible SHACV data vs the CV data, both measured by N. G. Harvey, reveals a remarkably good correlation (Figure 1). Note that the correlation covers a range of 0.84 V (19 kcal/mol) and includes representatives from several types of amides.

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(24) Private communication from E. M. Arnett and J.-P. Cheng.

Hydroxylated Metabolites of Loratadine: An Example of Conformational Diastereomers Due to Atropisomerism

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The structures of two metabolites of the nonsedating antihistamine loratadine (1) were confirmed by synthesis. The metabolites 3a and 3b, which are hydroxylated in the bridgehead, were each prepared from tricyclic ketone 8 in seven steps. Each of these compounds was found to exist as a pair of conformational diastereomers which interconvert slowly at room temperature. These conformers arise due to the restricted conformational mobility inherent to the diaryl[a,d]cycloheptane ring system.

Introduction

Loratadine (1) is a potent nonsedating H_1 -antihistamine¹ presently in clinical use.² A study aimed at identifying the metabolites of loratadine revealed that the compound is first hydrolyzed to the piperidinylidene amine 2 and then

hydroxylated at several positions. These hydroxylated derivatives may be conjugated and are ultimately excreted in the urine in their free or conjugated form.³ Two of the major hydroxylated derivatives were isolated and tentatively identified as hydroxy metabolites **3a** and **3b**. In order to confirm the structural assignments of these hydroxylated metabolites and also evaluate their pharmacological profile, alcohols **3a** and **3b** were prepared.

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^{(2) (}a) Barnett, A.; Iorio, L. C.; Kreutner, W.; Tozzi, S.; Ahn, H. S.; Gulbenkian, A. Agents Actions 1984, 14, 590. (b) Drugs Future 1987, 12, 544.

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We herein report on the synthesis of these hydroxylated metabolites 3a and 3b. Unexpectedly, it was found that these alcohols each exist as a pair of two compounds which equilibrate at room temperature. We attribute this effect to the existence of conformational diastereomers, due to the inherent atropisomerism⁴ that results from the reduced conformational mobility of the diary [a,d] cycloheptane ring system.5,6

Chemistry

The preparation of the hydroxylated metabolites **3a** and 3b initially centered on the selective functionalization of the disubstituted double bond of the bis-olefin 4. All attempts to selectively react the bridgehead double bond (e.g., hydroboration, epoxidation, oxymercuration, etc.) failed. Consequently, an approach similar to that reported for the synthesis of ketotifen $(5)^7$ was employed, wherein, the bridgehead carbonyl was masked at an equivalent oxidation level as either a vinyl bromide (i.e. 6a) or a vinyl ether (i.e. 6b).



(4) Although this is not an example of atropisomerism in the strictest sense of the work, since it involves rotation about two bonds instead of one, we feel that the term best describes the phenomenon observed here.

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 (b) Tochtermann, W. Fortschr. Chem. Forsch. 1970, 15, 378. Öki, M. Topics in Stereochemistry; John Wiley and Sons: New York, 1983; Vol. 14, pp 1-81

(6) Atropisomerism has been extensively investigated in the diaryl-(a,d]cycloheptene ring system: (a) Tochtermann, W.; Walter, U.; Mannschreck, A. Tetrahedron Lett. 1964, 2981. (b) Murahashi, S.-I.; Moritani, I.; Nishino, M. J. Am. Chem. Soc. 1967, 89, 1257. (c) To-chtermann, W. Chimia 1972, 26, 565. (d) Rokach, J.; Girard, Y.; Atkinson, I. C. L. Charg, Str. Charge, Charge, 1977. (c) 200. (c) Product Str. Charge Str. J. G. J. Chem. Soc., Chem. Commun. 1975, 602. (e) Remy, D. C.; Rittle, K. E.; Hunt, C. A.; Anderson, P. S.; Arison, B. H.; Engelhardt, E. L.; Hirschmann, R.; Clineschmidt, B. V.; Lotti, V. J.; Bunting, P. R.; Bal-Institution, R. J.; Papp, N. L.; Flataker, L.; Witoslawski, J. J.; Stone, C. A. J. Med. Chem. 1977, 20, 1013. (f) Young, S. D.; Baldwin, J. J.; Cochran, D. W.; King, S. W.; Remy, D. C.; Springer, J. P. J. Org. Chem. 1985, 50, 339. (g) Rupard, J. H.; Paulis, T.; Janowsky, A.; Smith, H. E. J. Med. Chem. 1989, 32, 2261. (7) Waldvogel, E.; Schwarb, G.; Bastian, J.-M.; Bourquin, J.-P. Helv.





^a(a) NBS/AIBN/CCl₄/ Δ ; (b) DBU/CH₂Cl₂/ Δ ; (c) DDQ/dioxane/ Δ ; (d) Br₂/CH₂Cl₂/room temperature; (e) Br₂/AgNO₃/ $CH_3OH/room$ temperature; (f) $DBU/CHCl_3/\Delta$.

The preparation of the two isomeric vinyl ethers 7a and 7b is shown in Scheme I. The tricyclic ketone 8^8 was converted to keto olefin 9 via a modification of the bromination-debromination sequence reported by Villani.⁸ Bromination of tricyclic ketone 8 with N-bromosuccinimide and catalytic AIBN in carbon tetrachloride followed by elimination of the generated bromides with DBU in methylene chloride produced keto olefin 9 in yields ranging from 35 to 50%. Alternatively, 9 could be obtained in one step via dehydrogenation of tricyclic ketone 8 with DDQ in refluxing dioxane, albeit in lower yield (24%).

Bromine smoothly added to keto olefin 9 in methylene chloride at room temperature to produce the dibromide which, following treatment with DBU, afforded a 3/1mixture of the two isomeric vinyl bromides 10 in 86% yield. Likewise, treatment of 9 with bromine in methanol, containing powered silver nitrate, produced the corresponding bromo ethers. Subsequent base-induced elimination of the bromides using DBU in refluxing chloroform afforded a 3/1 mixture of the isomeric vinyl ethers 7a and **7b** in 57% yield. The vinyl ethers $[R_f = 0.39 \text{ (major)}, R_f = 0.15 \text{ (minor)}; 50\%$ EtOAc in hexanes] were separated and identified as the 6-methoxy vinyl ether 7a (major) and the corresponding 5-methoxy derivative 7b (minor). These assignments were made on the basis of their NMR spectra. The C-7 aromatic proton resonance of the major isomer 7a was at 8.09 ppm (d, J = 2.0 Hz) as opposed to 7.46 ppm (d, J = 1.9 Hz) for the same proton of isomer 7b. The downfield shift of this proton in 7a is due to its proximity to the methoxy substituent at C-6. Likewise, the C-4 proton resonance of the minor isomer 7b is further downfield (8.45 ppm, dd, J = 8.2, 1.5 Hz) than it is in isomer 7a (7.82 ppm, dd, J = 8.0, 1.4 Hz).

The Grignard reagent of 4-chloro-1-methylpiperidine was added to the mixture of vinyl bromides 10 to render the two isomeric carbinols 11 in 46% yield (Scheme II). Similarly, each of the two vinyl ether carbinols 12a and 12b was obtained in 61% and 53% yields, respectively.

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Hydroxylated Metabolites of Loratadine



° (a) ClMgC₅H₉NCH₃, THF, room temperature; (b) 85–95% H₂SO₄, room temperature; (c) 87% H₂SO₄, room temperature, then CF₃SO₃H, 115 °C; (d) 95% H₂SO₄, room temperature, then CF₃S-O₃H, room temperature \rightarrow 70 °C; (e) CF₃SO₃H, 45 °C.



° (a) ClCO₂CH₂CH₃, Et₃N, C₈H₅CH₃, 80 °C; (b) KOH, H₂O, CH₃OH, Δ , 25 min; (c) KOH, H₂O, CH₃OH, Δ , 1–2 days; (d) NaB-H₄, CH₃OH.



° (a) ClCO₂CH₂CH₃ or ClCO₂CH₂CCl₃, Et₃N, C₆H₅CH₃, 80 °C; (b) KOH, H₂O, CH₃OH, Δ , 25 h; (c) NaBH₄, CH₃OH; (d) Zn, AcOH, Δ .

Although the vinylic bromide moiety of 11 resisted hydrolysis under a variety of conditions, the vinyl ether moieties in 12a and 12b were readily hydrolyzed with aqueous sulfuric acid at room temperature. Consequently, the synthesis of metabolites 3a and 3b was completed using vinyl ethers 12a and 12b.

The conversion of the 6-methoxy carbinol 12a directly to olefinic ketone 14c was accomplished in one step. Treatment of the vinyl ether with 87% aqueous sulfuric acid at room temperature, followed by the addition of an equal amount of trifluoromethanesulfonic acid with heating at 115 °C to eliminate the tertiary hydroxyl group, produced the olefinic ketone 14c in 77% yield. The NMR spectrum of 14c exhibited an AX quartet centered at 4.11 ppm for the bridgehead methylene protons. The resonance at 8.10 ppm (1 H, d, J = 2.4 Hz) corresponded to the C-7 aromatic proton, which was deshielded by the carbonyl at C-6. The aromatic proton at C-10 resonated further upfield at 7.35 ppm (1 H, d, J = 8.2 Hz) than it did in carbinol 12a (8.14 ppm, 1 H, d, J = 8.5 Hz) since it was no longer deshielded by the hydroxyl group.

When the 5-methoxy carbinol 12b was subjected to similar reaction conditions (87% aqueous sulfuric acid then triflic acid, 130 °C) total decomposition resulted. If the reaction was conducted at a lower temperature (T = 50-70°C) 14d was obtained in only 16% yield. It was found that olefinic ketone 14d was best obtained in two steps from carbinol 12b. Hydrolysis of the vinyl ether with 88% aqueous sulfuric acid provided the desired ketone in 92% yield, which existed predominately as its hemiketal 13 (~95% in CDCl₃). Subsequent treatment of 13 with neat trifluoromethanesulfonic acid at 45 °C for 3 h afforded olefinic ketone 14d in 53% yield for the two steps. Its NMR spectrum displayed a similar pattern to that of 14c with the exception that the C-4 instead of the C-7 proton resonance was shifted downfield by the carbonyl at C-5 to 8.41 ppm (1 H, dd, J = 8.0, 1.6 Hz).

Demethylation of olefinic ketones 14c and 14d was accomplished as illustrated in Schemes III (for 14c) and IV (for 14d). Treatment of 14c in toluene at 80 °C with 5 equiv of ethyl chloroformate gave ethyl carbamate 15 in 84% yield. The vinyligous carbonate moiety was selectively hydrolyzed by short exposure (t = 25 min) of 15 to refluxing aqueous potassium hydroxide to produce keto carbamate 16 in 63% yield. However, longer exposure of either 15 or 16 under identical reaction conditions resulted in the hydrolysis of the carbamate as well, to provide the desired amino ketone 17 in 67% and 84% yields, respectively.

The reduction of 17 with sodium borohydride in methanol provided the 6-hydroxy metabolite 3a in 85% yield. Unexpectedly, the alcohol existed as a 2/1 (CD₃OD) mixture of two components. The same ratio of components was also obtained from the reduction of 17 with L-Selectride (Aldrich), indicating either no difference in selectivity between the reducing agents or equilibration of the resultant components to an equilibrium mixture. The resonances for the C-6 methine protons appeared as doublets of doublets at 5.31 ppm (J = 8.9, 4.3 Hz) for the major component and much further upfield at 4.72 ppm (J =11.8, 5.5 Hz) for the minor one. In the case of each component, the C-6 hydroxyl group caused a downfield shift of the C-7 aromatic proton resonance to 7.55 ppm (major) and 7.47 ppm (minor) from where it usually appears at 7.22 ppm. The hydroxyl group had little effect on the position of the C-4 aromatic proton signal.

The olefinic ketone 14d was also converted to ethyl carbamate 18a and subsequently hydrolyzed to amino ketone 19 in 66% overall yield for the two steps (Scheme IV). Reduction of this isomeric ketone with sodium borohydride afforded the 5-hydroxy metabolite 3b in 89% yield, again as a 2/1 mixture of two components. Its proton NMR spectrum (CD_3OD) displayed the C-5 methine proton resonances at 5.33 ppm (dd, J = 9.2, 4.3Hz) and 4.76 ppm (dd, J = 11.7, 5.3 Hz) for the major and minor components, respectively. As expected, the resonances of the C-4 aromatic protons were shifted approximately 0.3 ppm further downfield to 7.99 ppm (major) and 7.91 ppm (minor) from where the C-4 proton absorption usually appears. The relative positions of the C-7 proton signals remained the same. Alternatively, treatment of troc-carbamate 18b, formed in 80% yield from 14d, with sodium borohydride in methanol provided hydroxy carbamate 20 as a 7/3 mixture of two components in 83%yield. Subsequent removal of the N-troc protecting group with zinc in acetic acid at 65 °C gave the same 2/1 mixture of 5-hydroxy metabolites 3b in 69% yield.

Just as the reduction of amino ketones 17 and 19 provided their corresponding alcohols as a mixture of two components, the reduction of 14c (i.e. the N-methylated derivative of 17) also produced a mixture (7/3 in CD_3OD) of the corresponding N-methyl alcohols 21 in 97% yield (Scheme III). Apparently, the alcohols always existed as a mixture of two components independent of the nature of the starting ketone or the mode of its reduction. This is best rationalized by considering that the two components in each of the alcohol mixtures are just different conformations of the same compound, which should be interconvertible at some temperature.

Heating alcohol **3a** to 70 °C (DMSO- d_6) resulted in no broadening of any of the NMR proton resonances corresponding to the two components. Consequently, the rate of interconversion at this temperature is much slower than 1 s⁻¹. Furthermore, no additional distinct components were



^a (a) 2 equiv of *n*-BuLi, THF, -40 °C, then CH_3I ; (b) 1 equiv of *n*-BuLi, then NaBr, *m*-ClC₆H₄CH₂Cl; (c) POCl₃, Δ ; (d) PPA, 190-200 °C, 2 h; (e) HCl, H₂O; (f) ClMgC₅H₉NCH₃, THF, 55 °C; (g) CF₃SO₃H, 65-70 °C, 23 h.

observed at lower temperatures (-70 °C in CD_2Cl_2) in the NMR spectrum of **3b**. Since the energy barrier for the interconversion of the conformers appeared to be quite high, an attempt at their separation was made. This was accomplished for the 5-hydroxy alcohol **3b** at -25 °C using preparative TLC [10% CH₃OH saturated with NH₃ in CHCl₃; $R_f = 0.15$ (major); $R_f = 0.10$ (minor)]. Although the conformers were separated, they reequilibrated to the same 3/2 mixture in less than 30 min at room temperature as evidenced by HPLC.

In an effort to further characterize the nature of these conformational diastereomers by NMR spectroscopy, the 5-methyl derivative 22 was prepared (Scheme V). Its synthesis was achieved using a sequence similar to that described for the more recent synthesis of loratadine (1).^{10,11} The dianion of *tert*-butyl amide 23,¹⁰ formed by treating 23 with 2 equiv of *n*-butyllithium at -40 °C, was selectively alkylated on carbon when quenched with 1 equiv of methyl iodide. Subsequent regeneration of the dianion by adding an additional equivalent of *n*-butyllithium followed by an equivalent of *m*-chlorobenzyl chloride provided tert-butyl amide 24. Without purification, the crude product was readily dehydrated to the corresponding nitrile 25 with refluxing phosphorous oxychloride in 75% overall yield from tert-butyl amide 23. The nitrile 25 could then be cyclized to the seven-membered ring imine by heating it in polyphosphoric acid at 195 °C. Although the imine could be isolated [IR (CHCl₃) 1587 cm⁻¹], it was best hydrolyzed in situ with aqueous hydrochloric acid to provide the 5-methyl ketone 26 [IR

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⁽¹¹⁾ For the earlier synthesis of loratadine (1) see ref 1 and references cited therein.

Table I.Chemical Shifts^a and Coupling Constants^b of the
C-5 and C-6 Protons of 3a, 3b, 21, and 22

compd	Proton	major conformer δ (J)	minor conformer δ (J)	
3a ^d	H-5 H-5' H-6	3.55 (15.9, 4.3) 2.91 (15.9, 8.9) 5.31 (8.9, 4.3)	3.10 (12.8, 5.5) 3.52 (12.8, 11.8) 4.72 (11.8, 5.5)	
3b ^d	H-5 H-6	5.33 (9.2, 4.3) 3.57 (16.2, 4.3)	4.72 (11.8, 5.8) 4.76 (11.7, 5.3) 3.04 (12.6, 5.3)	
21 ^d	H-6' H-5 H-5'	$\begin{array}{c} 2.88 & (16.2, 9.2) \\ 3.55 & (16.0, 4.2) \\ 2.91 & (16.0, 9.0) \end{array}$	3.54 (12.6, 11.7) 3.10 (13.2, 5.5) 3.50 (13.2, 11.6)	
22 ^e	H-6 H-5° H-6 H-6'	5.30 (9.0, 4.2) 3.59 (10.1, 4.0) 3.43 (16.3, 4.0) 2.58 (16.3, 10.1)	4.72 (11.6, 5.5) 3.09 (12.5, 5.2) 2.75 (13.1, 5.2) 3.20 (13.1, 12.5)	

^a In ppm downfield from TMS. ^b In hertz. ^cAfter decoupling the C-5 methyl group. ^d In CD_3OD . ^e In $CDCl_3$.

(CHCl₃) 1667 cm⁻¹] in 34% yield from nitrile 25. The NMR spectrum of ketone 26 (CDCl₃, CD₃OD or C₆D₆) revealed the presence of only one conformer with a single methyl resonance at 1.35 ppm (3 H, d, J = 7.0 Hz) in CDCl₃.

Alternatively, nitrile 25 can be treated with the Grignard reagent of 4-chloro-1-methylpiperidine,⁹ which, following acid hydrolysis, yielded piperidyl ketone 27 in 63% yield. Intramolecular cyclization of 27, using trifluoromethanesulfonic acid provided the targeted 5-methylpiperidinylidene 22 in 89% yield. As expected, 22 existed as a mixture of two conformers (11/9 in CD₃OD or CDCl₃) as evidenced by its NMR spectrum. The methyl doublets for the conformers appeared at 1.33 ppm (major) and 1.40 ppm (minor) and were coupled to the C-5 methine protons whose signals were at 3.59 ppm (major) and 3.09 ppm (minor), respectively. However, unlike the 5- and 6hydroxy counterparts, the C-4 and C-7 proton resonances were not deshielded and appeared at their expected positions.

Discussion

The synthetic 5- and 6-hydroxy derivatives 3a and 3bwere identical (NMR, MS, HPLC) to the hydroxylated metabolites isolated from urine samples of metabolized loratadine (1). Each of these alcohols exists as a mixture of two spectroscopically similar components,¹² which can be readily separated at -25 °C and interconverted at room temperature. As a consequence, it appears that these two components are conformational diastereomers which arise due to some restricted conformational mobility inherent to the ring system. While this restricted mobility may be due to either a slow axial to equatorial exchange of the C-5 or C-6 substituent due to rotation about the central bridgehead bond or a slow piperidinylidene ring flip from one face of the tricyclic ring system to the other, we believe that the latter process is operative here.

The axial to equatorial exchange of a substituent in a seven-membered ring is usually very rapid.^{5b} However, the tricyclic ring system may impart a certain degree of rigidity to the seven-membered ring which would render interconversion sluggish. While the substituent (X) may occupy either the equatorial or axial positions (note: the axial position contains no 1,3-diaxial interactions), the process



of flipping from one to the other may be slow (eq 1).

Alternatively, the generation of an asymmetric center by either hydroxylation (e.g. 3a, 3b, and 21) or methylation (e.g. 22) of the bridgehead may just serve to allow the atropisomerism of this ring system to be more readily observed; namely, by generating conformational diastereomers from conformational enantiomers. The flip of the piperidinylidene ring past the C-10 proton and pyridine nitrogen from one face of the tricyclic ring to the other may be slow enough so that it imparts overall asymmetry to these molecules (eq 2). The restricted mobility of this piperidinylidene ring flip has been observed in similar systems.^{5,6,12}



Although the 5-methylpiperidinylidene 22 exists in two conformations at room temperature, the corresponding keto derivative 26 appears to be present as only one. Consequently, at this temperature the methyl group of 26 may occupy either an axial or equatorial position, or alternatively, it may be in rapid equilibrium between both. The NMR spectrum of 26 (C_6D_6) reveals that the C-6 methylene protons at 2.43 ppm (1 H, dd, J = 17.0, 2.1 Hz) and 2.19 ppm (1 H, dd, J = 17.0, 9.2 Hz) are coupled geminally (J = 17.0 Hz) and vicinally with the C-5 methine proton at 2.59 ppm. While the absolute magnitude of a particular coupling constant is influenced by many factors, the relative values for adjacent pairs is dependent to a first approximation on the dihedral angle.¹³ Application of the Karplus equation to the magnitude of the vicinal coupling constants (J = 9.2 and 2.1 Hz) indicates that the dihedral angle between each pair of C-5 and C-6 protons is about 120° greater for one than the other. Such an arrangement would require the C-5 proton to be predominately axial with its methyl group equatorial. An equatorial C-5 proton should display similar coupling constants with each of the protons at C-6.

Each of the conformers of the 5-hydroxy (3b), 6-hydroxy (3a), 6-hydroxy-N-methyl (21), and 5-methyl (22) derivatives displays a similar coupling pattern upon examination of their C-5 and C-6 proton resonances (Table I). Although the magnitude of the coupling constants between these protons differs slightly in each conformer, each pair of vicinal J values for each conformer has a large and a small value as was observed for ketone 26. The coupling constants for each of the conformers listed are in close agreement with those reported for similar systems containing an equatorial substituent.¹⁴ Consequently, the C-5 or C-6 substituent (X = CH₃ or OH) probably occupies predominately an equatorial position. However, even though no additional conformers were observed at -70 °C, we cannot preclude the fact that both populations of axial and equatorial isomers exist and are in rapid equilibrium

⁽¹²⁾ A similar observation was made with the structurally similar bridgehead hydroxylated derivatives of amitriptylene. Although the authors speculated that the two components arise due to a slow ring inversion, a detailed analysis of this phenomenon was not reported. See: Lassen, N.; Perregaard, J. Acta Chem. Scand. 1983, B37, 335.

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Table II. Difference NOE Measurements of the C-5 Methine and the Methyl Group Hydrogens of 5-Methyl Piperidinylidene 22

		% NOE		
saturation of C-4 proton (in ppm)	conformer	C-5 methine	C-5 methyl hydrogens	
7.55 7.43	major minor	2.5 7.5	4.5 3.1	

with each other. This may explain the slight differences in the magnitude of the coupling constants¹⁵ between the major and minor conformers; wherein, the major conformer may have a higher percentage of the axial isomer in rapid equilibrium with the equatorial isomer. In either case, this axial to equatorial substituent exchange due to twisting about the C-5–C-6 bond cannot be responsible for the existance of the two conformers at room temperature.

Therefore, the sluggish piperidinylidene ring flip from one face of the tricyclic ring to the other must be responsible for the atropisomerism observed in these compounds. The substituent (X) at either C-5 or C-6 serves only to render this restricted mobility more easily observable by introducing another site of asymmetry in the molecule (i.e. conformational diastereomers from conformational enantiomers).

Examination of one of the conformers of 5-methylpiperidinylidene 22 using molecular models revealed that the C-4 proton is close to the methyl group at C-5 than it is to the hydrogen at C-5. When the piperidinylidene ring is flipped to the other face of the tricyclic ring to produce the other conformer, the C-4 proton moves closer to the C-5 hydrogen and further from the C-5 methyl group. NOE experiments, wherein the C-4 proton is saturated and the enhancements of the C-5 hydrogen and methyl group hydrogens are measured, agree with this observation (Table II). In going from one conformation to the other the increased enhancement measured for one set of hydrogens was paralleled by a decrease in the enhancement of the other set.

Introduction of an asymmetric center in any position of the parent ring system (i.e. 2) should produce conformational diastereomers that are observable at room temperature, as long as the change does not disturb the rate of the sluggish piperidinylidene flip. As expected, oxidation of N-methyl amine 28^{16} with 1 equiv of m-CPBA produced amine oxide 29 in 98% yield as a 2/1 mixture (CDCl₃) of two conformers.



A more detailed analysis of the exact nature of this restricted conformational mobility is in progress. These results as well as the energetics of the interconversion will be reported shortly.

Experimental Section

General. Solvents and reagents were used as received unless otherwise noted. Anhydrous solvents were obtained via distillation from either sodium benzophenone ketyl (tetrahydrofuran) or phosphorous pentoxide (methylene chloride). All anhydrous reactions were conducted with the careful exclusion of moisture. The apparatus was flame-dried and cooled under an atmosphere of argon or nitrogen. All reaction mixtures were magnetically stirred unless otherwise noted. High-pressure liquid chromatography (HPLC) was carried out on the Water's Associates Model 590 pump system using a Whatman partisil 5 ODS-3 column (250 mm, 4.6 mm i.d.). The mobile phase was usually methanol/water (60/40) with 0.05 M phosphate buffer adjusted to pH = 3. Flash chromatography was carried out using the apparatus described by Still and co-workers¹⁷ and was carried out using Baker flash silica gel ($\sim 40 \ \mu m$ average particle size). Gravity column chromatography was carried out using silica gel from Davison Chemical, Grace (60-200 mesh). Analytical thin-layer chromatography (TLC) was performed using Analtech silica gel GF plates and visualized using ultraviolet light. Preparative thick-layer chromatography was carried out on silica gel GF 1000 plates of 20×20 (Analtech). Melting points were obtained on a Thomas-Hoover capillary melting point apparatus and are uncorrected. Infrared spectra (IR) were recorded on the Perkin-Elmer 1320 spectrophotometer. Proton magnetic resonance spectra (¹H NMR) were recorded on the Varian XL-400 (400 MHz), the Varian XL-300 (300 MHz), the Varian Gemini 300 (300 MHz), or the Varian XL-200 (200 MHz) spectrometers and are reported in ppm (δ) from tetramethylsilane as the standard. Coupling constants are reported in hertz and are accurate to within 0.3 Hz; the abbreviations b, s, d, t, q, AB, and m refer to broad, singlet. doublet, triplet, quartet, AB quartet, and multiplet, respectively. Long-range coupling constants of less than 1.0 Hz are not reported. Mass spectra were taken on the Varian MAT CH5 (EI), the Varian MAT 312 (FAB), or the VG Analytical ZAB-SE (FAB) instruments. Some of the major fragments are reported as m/e (relative intensity).

8-Chloro-11H-benzo[5,6]cyclohepta[1,2-b]pyridin-11-one (9). A mixture of 25.99 g (107 mmol) of tricyclic ketone 8, 21.35 g (120 mmol) of recrystallized N-bromosuccinimide, and 167 mg (1.02 mmol) of AIBN in 400 mL of carbon tetrachloride was refluxed under an argon atmosphere for 1.25 h. The solution was slowly cooled to 50 °C, and the resultant precipitate was filtered off. The crude precipitate and 20 mL (134 mmol) of 1,8-diazabicyclo[5.4.0]undec-7-ene in 400 mL of methylene chloride was refluxed for 1 h. It was then washed with water $(3\times)$, dried over MgSO₄, filtered, and concentrated in vacuo. The crude product was recrystallized from methylene chloride/toluene to give 8.93 g (35%) of keto olefin 9 as colorless needles: mp 158-160 °C; IR (CHCl₃) 1658, 1588 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.91 (1 H, dd, J = 4.4, 1.6 Hz, H-2), 8.19 (1 H, d, J = 9.2 Hz, H-10), 7.94 (1 H, dd, J = 8.0, 1.6 Hz, H-4), 7.62-7.52 (3 H, m), 7.05 (2 H, AB, H) $J = 12.1 \text{ Hz}, \Delta v = 24.6 \text{ Hz}, \text{H-5}, \text{H-6}$; MS (EI) m/e (%) 243 (M⁺, 33), 241 (M⁺, 95), 213 (100), 178 (67). Anal. Calcd for $C_{14}H_8NCIO$: C, 69.58; H, 3.34; N, 5.80; Cl, 14.67. Found: C, 69.32; H, 3.26; N, 5.83; Cl, 14.55.

8-Chloro-6-methoxy-11*H*-benzo[5,6]cyclohepta[1,2-*b*]pyridin-11-one (7a) and 8-Chloro-5-methoxy-11*H*-benzo-[5,6]cyclohepta[1,2-*b*]pyridin-11-one (7b). To a mixture of 8.15 g (33.7 mmol) of keto olefin 9 and 23.19 (137 mmol) of powdered silver nitrate in 300 mL of dry methanol at room temperature and under an argon atmosphere was added dropwise 5.10 mL (99.0 mmol) of bromine. After 8 h another 5.90 g (34.7 mmol) of powdered silver nitrate followed by 1.70 mL (33.0 mmol) of bromine was added. After 30 min the mixture was poured into water and extracted with methylene chloride (4×). The organic phases were combined, dried over MgSO₄, filtered, and concentrated in vacuo to give a mixture of the crude bromo ethers.

The product was dissolved in 200 mL of methylene chloride at room temperature and placed under an argon atmosphere. To the solution was then added 20.0 mL (134 mmol) of 1,8-diazabicyclo[5.4.0]undec-7-ene after which it was refluxed for 1.33 h. Another 10.0 mL (67 mmol) of 1,8-diazabicyclo[5.4.0]undec-7-ene

⁽¹⁵⁾ Although electronegative substituents can also influence the magnitude of coupling constants, especially if they are trans coplanar to a hydrogen,¹³ they appear to exert a relatively minor effect here. Comparison of the coupling constants of the bridgehead protons of **3b** where hydroxyl is the substituent with **22** where methyl is the substituent reveals that they parallel each other quite closely despite the difference in the electronegativity of the substituents.

⁽¹⁶⁾ Villani, F. J.; Daniels, P. J. L.; Ellis, C. A.; Mann, T. A.; Wang, K.-C.; Wefer, E. A. J. Med. Chem. 1972, 15, 750.

⁽¹⁷⁾ Still, W. C.; Kahn, M.; Mitra, A. J. Org. Chem. 1978, 43, 2923.

was added, and the mixture was refluxed for another hour. The mixture was poured into water and extracted with methylene chloride (3×). The combined organic phases were washed with water, dried over MgSO₄, filtered, and concentrated in vacuo. The two isomeric vinyl ethers were separated and purified via flash chromatography (40–75% ethyl acetate in hexanes) and then recrystallized from ethyl acetate/hexanes to give 1.51 g (16.5%) of the 5-methoxy vinyl ether **7b** and 3.68 g (40%) of the more polar 6-methoxy vinyl ether **7a**.

7a: mp 160–161 °C; IR (CHCl₃) 1665, 1630, 1589 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.74 (1 H, dd, J = 4.5, 1.4 Hz, H-2), 8.09 (1 H, d, J = 2.0 Hz, H-7), 8.00 (1 H, d, J = 8.4 Hz, H-10), 7.82 (1 H, dd, J = 8.0, 1.4 Hz, H-4), 7.58 (1 H, dd, J = 8.4, 2.0 Hz, H-9), 7.48 (1 H, dd, J = 8.0, 4.5 Hz, H-3), 6.24 (1 H, s, H-5), 3.99 (3 H, s, OCH₃); MS (EI) m/e (%) 273 (M⁺, 46), 271 (M⁺, 100), 243 (42), 200 (79). Anal. Calcd for C₁₅H₁₀NClO₂·0.33H₂O: C, 63.50; H, 4.03; N, 4.94; Cl, 12.50. Found: C, 63.28; H, 3.85; N, 4.90; Cl, 12.47.

7b: mp 162–163 °C; IR (CHCl₃) 1663, 1627, 1587 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.91 (1 H, dd, J = 4.5, 1.5 Hz, H-2), 8.45 (1 H, dd, J = 8.2, 1.5 Hz, H-4), 7.97 (1 H, d, J = 8.5 Hz, H-10), 7.60 (1 H, dd, J = 8.2, 4.5 Hz, H-3), 7.46 (1 H, d, J = 1.9 Hz, H-7), 7.38 (1 H, dd, J = 8.5, 1.9 Hz, H-9), 6.35 (1 H, s, H-6), 3.98 (3 H, s, OCH₃); MS (EI) m/e (%) 273 (M⁺, 34), 271 (M⁺, 100), 243 (36), 200 (64). Anal. Calcd for C₁₅H₁₀NClO₂: C, 66.31; H, 3.71; N, 5.16; Cl, 13.05. Found: C, 66.48; H, 3.59; N, 5.11; Cl, 12.94.

8-Chloro-6-methoxy-11-(1-methyl-4-piperidinyl)-11Hbenzo[5,6]cyclohepta[1,2-b]pyridin-11-ol (12a). To a mixture of 6.00 g (22.1 mmol) of the 6-methoxy vinyl ether 7a in 80 mL of dry tetrahydrofuran at 0 °C and under an argon atmosphere was added dropwise over a 10-min period 17.7 mL (26.6 mmol) of a 1.5 M Grignard solution of 4-chloro-1-methylpiperidine⁹ in tetrahydrofuran. After 1 h the mixture was quenched with water and extracted with ethyl acetate $(3\times)$. The organic portions were combined, washed with brine, dried over Na_2SO_4 , filtered, concentrated in vacuo, and chromatographed on silica gel (0-5% methanol in methylene chloride) to give 5.01 g (61%) of the 6-methoxy carbinol 12a as a solid. An analytical sample was prepared by recrystallization of the solid from isopropyl ether to give white needles: mp 159-160 °C; IR (CHCl₃) 3285, 1631 cm⁻¹; ¹H NMR (CDCl₃, 200 MHz) δ 8.42 (1 H, dd, J = 4.5, 1.5 Hz, H-2), 8.14 (1 H, d, J = 8.5 Hz, H-10), 7.80 (1 H, d, J = 2.2 Hz, H-7), 7.65 (1 H, dd, J = 7.5, 1.5 Hz, H-4), 7.44 (1 H, dd, J = 8.5, 2.2 Hz, H-9), 7.25 (1 H, dd, J = 7.5, 4.5 Hz, H-3), 6.99 (1 H, s, OH), 6.14 (1 H, s, H-5), 3.99 (3 H, s, OCH₃), 2.82-2.64 (2 H, m), 2.32-2.12 (1 H, m), 2.15 (3 H, s, NCH₃), 1.82-1.40 (4 H, m) 0.96-0.82 (1 H, m), 0.53–0.38 (1 H, m); MS (EI) m/e (%) 372 (M⁺, 1.5), 370 (M⁺, 4.2), 274 (37), 272 (100). Anal. Calcd for C₂₁H₂₃N₂ClO₂: C, 68.01; H, 6.25; N, 7.55; Cl, 9.56. Found: C, 68.17; H, 6.28; N, 7.44; Cl, 9.53.

8-Chloro-5,11-dihydro-11-(1-methyl-4-piperidinylidene)-6H-benzo[5,6]cyclohepta[1,2-b]pyridin-6-one (14c). A mixture of 2.00 g (5.39 mmol) of the 6-methoxy carbinol 12a in 87% aqueous sulfuric acid was stirred at room temperature and under an argon atmosphere. After 30 min 30 mL of trifluoromethanesulfonic acid was added, and the mixture was heated to 115 °C. One hour later the mixture was cooled to room temperature, poured onto ice, basified with 5% aqueous sodium hydroxide, and extracted with methylene chloride $(2\times)$. The combined organic portions were washed with brine, dried over Na_2SO_4 , filtered, and concentrated in vacuo to give 1.41 g (77%) of the olefinic ketone 14c. The material was recrystallized from ethyl acetate/isopropyl ether to give 1.12 g (61%) of the ketone as a granular solid: mp 181-183 °C; IR (CHCl₃) 1676, 1587 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.48 (1 H, dd, J = 4.9, 1.5 Hz, H-2), 8.10 (1 H, d, J = 2.4 Hz, H-7), 7.62 (1 H, dd, J = 7.6, 1.5 Hz, H-4),7.48 (1 H, dd, J = 8.2, 2.4 Hz, H-9), 7.35 (1 H, d, J = 8.2 Hz, H-10), 7.16 (1 H, dd, J = 7.6, 4.9 Hz, H-3), 4.37 (1 H, d, J = 14.5 Hz, H-5), 3.85 (1 H, d, J = 14.5 Hz, H-5'), 2.84–2.70 (2 H, m), 2.66–2.47 (3 H, m), 2.29 (3 H, s, NCH₃), 2.33-2.02 (3 H, m); MS (EI) m/e (%) 340 (M⁺, 12), 338 (M⁺, 37), 295 (42), 294 (38), 96 (48). Anal. Calcd for C₂₀H₁₉N₂ClO: C,70.90; H, 5.65; N, 8.27; Cl, 10.46. Found: C, 70.85; H, 5.66; N, 8.25; Cl, 10.34.

Ethyl 4-[8-Chloro-6-[(ethoxycarbonyl)oxy]-11*H*-benzo-[5,6]cyclohepta[1,2-b]pyridin-11-ylidene]-1-piperidinecarboxylate (15). To a solution of 952 mg (2.81 mmol) of olefinic ketone 14c and 0.59 mL (4.22 mmol) of triethylamine in 12 mL

of toluene at 80 °C and under an argon atmosphere was added dropwise 1.34 mL (14.0 mmol) of ethyl chloroformate. After 30 min the mixture was cooled to room temperature, filtered, and concentrated in vacuo. The crude product was purified via flash chromatography (5% methanol in methylene chloride) to afford 1.11~g~(84%) of ethyl carbamate 15 as a glass: IR (CHCl_3) 1765, 1680 cm⁻¹; ¹H NMR (CDCl₃, 200 MHz) δ 8.62 (1 H, dd, J = 5.0, 1.5 Hz, H-2), 7.67 (1 H, dd, J = 8.0, 1.5 Hz, H-4), 7.55 (1 H, d, J = 2.0 Hz, H-7), 7.44 (1 H, dd, J = 8.5, 2.0 Hz, H-9), 7.30 (1 H, d, J = 8.5 Hz, H-10), 7.23 (1 H, dd, J = 8.0, 5.0 Hz, H-3), 6.83 (1 H, s, H-5), 4.27 (2 H, q, J = 7.0 Hz, OCH₂), 4.12 (2 H, q, J =7.0 Hz, OCH₂), 3.92-3.70 (2 H, m), 3.14-2.81 (2 H, m), 2.44-2.00 $(4 \text{ H}, \text{m}), 1.37 (3 \text{ H}, \text{t}, J = 7.0 \text{ Hz}, \text{CH}_3), 1.23 (3 \text{ H}, \text{t}, J = 7.0 \text{ Hz},$ CH₃); MS (FAB, thioglycerol matrix) m/z (%) 471 (M⁺ + H, 35), 469 (M⁺ + H, 100), 423 (33), 266 (36). Anal. Calcd for C₂₅H₂₅N₂ClO₅: C, 64.03; H, 5.37; N, 5.97; Cl, 7.56. Found: C, 63.72; H, 5.38; N, 5.86; Cl, 7.38.

Ethyl 4-(8-Chloro-5,6-dihydro-6-oxo-11H-benzo[5,6]cyclohepta[1,2-b]pyridin-11-ylidene)-1-piperidinecarboxylate (16). A mixture of 2.85 g (6.08 mmol) of ethyl carbamate 15 and 40 mL of 11% aqueous potassium hydroxide (w/v) in 32.5 mL of ethanol was heated at 110 °C for 25 min. The mixture was poured into water and extracted with methylene chloride $(2\times)$. The combined organic portions were washed with brine, dried over Na₂SO₄, filtered, and concentrated in vacuo. The residue was purified via flash chromatography (5% methanol in methylene chloride) and recrystallized from ethyl acetate/pentane to give 1.51 g (63%) of keto carbamate 16 as a yellowish solid: mp 122–124 °C; IR (CHCl₃) 1680 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.48 (1 H, dd, J = 5.0, 1.5 Hz, H-2), 8.12 (1 H, d, J = 2.4 Hz, H-7), 7.63 (1 H, dd, J = 7.8, 1.5 Hz, H-4), 7.49 (1 H, dd, J = 8.3, 2.4 Hz, H-9), 7.33 (1 H, d, J = 8.3 Hz, H-10), 7.18 (1 H, dd, J = 7.8, 5.0 Hz, H-3), 4.32 (1 H, d, J = 14.6 Hz, H-5), 4.16 $(2 \text{ H}, \text{q}, J = 7.0 \text{ Hz}, \text{OCH}_2), 3.86 (1 \text{ H}, \text{d}, J = 14.6 \text{ Hz}, \text{H-5'}),$ 3.94-3.76 (2 H, m) 3.30-3.09 (2 H, m), 2.60-2.38 (3 H, m), 2.28-2.16 (1 H, m), 1.26 (3 H, t, J = 7.0 Hz, CH₃); MS (EI) m/e (%) 398 (M⁺, 36), 396 (M⁺, 100), 294 (41), 280 (39). Anal. Calcd for C₂₂H₂₁N₂ClO₃: C, 66.58; H, 5.33; N, 7.06; Cl, 8.93. Found: C, 66.21; H, 5.55; N, 6.80; Cl, 8.62.

8-Chloro-5,11-dihydro-11-(4-piperidinylidene)-6H-benzo-[5,6]cyclohepta[1,2-b]pyridin-6-one (17). Method A. A mixture of 758 mg (1.91 mmol) of keto carbamate 16 and 20 mL of 13% aqueous potassium hydroxide (w/v) in 15 mL of ethanol was refluxed under an argon atmosphere for 24 h. The mixture was poured into water and extracted with chloroform $(3\times)$. The combined organic portions were washed with brine, dried over Na_2SO_4 , filtered, and concentrated in vacuo to afford a product which was purified via flash chromatography (2% methanol saturated with ammonia in methylene chloride) to yield 520 mg (84%) of amino ketone 17. An analytical sample was prepared by recrystallization of the product from ethyl acetate to give 17 as yellowish crystals: mp 207-209 °C dec; IR (CHCl₃) 3320, 1678, 1587 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.47 (1 H, dd, J = 5.0, 1.5 Hz, H-2), 8.10 (1 H, d, J = 2.4 Hz, H-7), 7.62 (1 H, dd, J =7.6, 1.5 Hz, H-4), 7.48 (1 H, dd, J = 8.3, 2.4 Hz, H-9), 7.35 (1 H, d, J = 8.3 Hz, H-10), 7.16 (1 H, dd, J = 7.6, 5.0 Hz, H-3), 4.38 (1 H, d, J = 14.5 Hz, H-5), 3.85 (1 H, d, J = 14.5 Hz, H-5'),3.18-3.04 (2 H, m), 2.82-2.61 (2 H, m), 2.54-2.34 (3 H, m) 2.27-2.17 (1 H, m); MS (EI) m/e (%) 326 (M⁺, 27), 324 (M⁺, 85), 296 (51), 295 (84), 294 (100), 280 (40), 204 (26). Anal. Calcd for C₁₉H₁₇N₂ClO: C, 70.26; H, 5.28; N, 8.63; Cl, 10.92. Found: C, 70.24; H, 5.11; N, 8.54; Cl, 10.68.

Method B. A mixture of 1.40 g (2.99 mmol) of ethyl carbamate 15 and 20 mL of 13% aqueous potassium hydroxide (w/v) in 15 mL of ethanol was refluxed under an argon atmosphere for 42 h. The mixture was poured into water and extracted with methylene chloride (4×). The combined organic portions were washed with brine, dried over Na₂SO₄, filtered, and concentrated in vacuo. The residue was purified via flash chromatography (10-20% methanol in methylene chloride) and recrystallized from methylene chloride/pentane to give 655 mg (67%) of amino ketone 17, identical with the material prepared via method A above.

8-Chloro-6,11-dihydro-6-hydroxy-11-(4-piperidinylidene)-5H-benzo[5,6]cyclohepta[1,2-b]pyridine (3a). To a mixture of 290 mg (0.893 mmol) of amino ketone 17 in 14 mL of methanol at 0 °C and under an argon atmosphere was added in three portions 165 mg (4.36 mmol) of sodium borohydide. After 30 min the mixture was poured into water and extracted with methylene chloride $(3\times)$. The combined organic portions were washed with brine, dried over Na₂SO₄, filtered, and concentrated in vacuo to give a product which was purified via flash chromatography (5-10% methanol saturated with ammonia in methylene chloride) to give 249 mg (85%) of the 6-hydroxy compound 3a as a glass. The product is unstable in chlorinated solvents for extended periods of time possibly due to formation of its hydrochloride salt. It could be further purified via a subsequent flash chromatography (10% methanol saturated with ammonia in toluene) and lyophilization of the product from 10% ethanol in water to give the 6-hydroxy compound 3a as a white solid: IR (CHCl₃) 3120, 1590 cm⁻¹; ¹H NMR (CD₃OD, 400 MHz) δ 8.32 (0.67 H, dd, J = 5.0, 1.6 Hz, H-2), 8.31 (0.33 H, dd, J = 5.0, 1.5 Hz, H-2), 7.79 (0.33 H, dd, J = 7.6, 1.5 Hz, H-4), 7.63 (0.67 H, dd, J= 7.6, 1.6 Hz, H-4), 7.55 (0.67 H, d, J = 2.0 Hz, H-7), 7.47 (0.33) H, d, J = 2.4 Hz, H-7), 7.29–7.19 (2 H, m), 7.14 (0.33 H, d, J =8.3 Hz, H-10), 7.11 (0.67 H, d, J = 8.2 Hz, H-10), 5.31 (0.67 H, dd, J = 8.9, 4.3 Hz, H-6), 4.72 (0.33 H, dd, J = 11.8, 5.5 Hz, H-6), 3.55 (0.67 H, dd, J = 15.9, 4.3 Hz, H-5), 3.52 (0.33 H, dd, J = 12.8, 11.8 Hz, H-5'), 3.10 (0.33 H, dd, J = 12.8, 5.5 Hz, H-5), 2.91 (0.67 H, dd, J = 15.9, 8.9 Hz, H-5'), 3.05–2.03 (8 H, m); MS (EI) m/e(%) 328 (M⁺, 35), 326 (M⁺, 100), 298 (43), 297 (81), 296 (85), 284 (32), 282 (59), 280 (31); HRMS (FAB, thioglycerol matrix) m/zcalcd for C₁₉H₁₉N₂ClO (M⁺ + H) 327.1264, found 327.1273.

8-Chloro-5-methoxy-11-(1-methyl-4-piperidinyl)-11Hbenzo[5,6]cyclohepta[1,2-b]pyridin-11-ol (12b). To a mixture of 5.00 g (18.4 mmol) of the 5-methoxy vinyl ether 7b in 70 mL of dry tetrahydrofuran at 0 °C and under an argon atmosphere was added dropwise over a 7-min period 15.0 mL (22.5 mmol) of a 1.5 M Grignard solution of 4-chloro-1-methylpiperidine⁹ in tetrahydrofuran. After 30 min the mixture was quenched with a saturated solution of ammonium chloride (pH \sim 8) and extracted with chloroform $(3\times)$. The combined organic portions were washed with brine, dried over Na₂SO₄, filtered, concentrated in vacuo, and flash chromatographed (5% methanol in methylene chloride) to give 3.60 g (53%) of the 5-methoxy carbinol 12b. An analytical sample was prepared by recrystallization of the resultant solid from isopropyl ether to give 12b as a white powder: mp 168-170 °C; IR (CHCl₃) 3290, 1632, 1586 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.55 (1 H, dd, J = 5.0, 1.5 Hz, H-2), 8.16 (1 H, dd, J = 8.0, 1.5 Hz, H-4), 8.04 (1 H, d, J = 8.3 Hz, H-10), 7.33 (1 H, dd, J = 8.0, 5.0 Hz, H-3), 7.30-7.23 (2 H, m), 6.95 (1 H, s, OH), 6.24 (1 H, s, H-6), 3.96 (3 H, s, OCH₃), 2.78-2.62 (2 H, m), 2.29-2.16 (1 H, m), 2.14 (3 H, s, NCH₃), 1.77-1.42 (4 H, m), 0.90-0.81 (1 H, m), 0.50–0.41 (1 H, m); MS (EI) m/e (%) 372 (M⁺, 1.6), 370 (M⁺, 4.2), 274 (39), 272 (100). Anal. Calcd for C₂₁H₂₃N₂ClO₂: C, 68.01; H, 6.25; N, 7.55; Cl, 9.56. Found: C, 67.99; H, 6.19; N, 7.49; Cl, 9.48.

8-Chloro-6,11-dihydro-11-(1-methyl-4-piperidinyl)-5,11epoxy-5H-benzo[5,6]cyclohepta[1,2-b]pyridin-5-ol (13). To a mixture of 4.26 g (11.5 mmol) of the 5-methoxy carbinol 12b in 6 mL of methanol at 0 °C and under an argon atmosphere was added slowly a cooled solution of 54 mL of 88% aqueous sulfuric acid. The mixture was then allowed to warm to room temperature. After 35 min the solution was poured onto ice, basified with 25% aqueous sodium hydroxide, and extracted with methylene chloride $(3\times)$. The combined organic portions were washed with brine, dried over Na_2SO_4 , filtered, and concentrated in vacuo. The residue was dissolved in a small amount of methylene chloride and precipitated by the addition of isopropyl ether. The resultant solid was triturated with isopropyl ether to give 3.78 g (92%) of the deprotected ketone which existed predominantly as its hemiketal 13 ($\sim 95\%$ in CDCl₃): IR (CHCl₃) 3000 cm⁻¹, no carbonyl; ¹H NMR (CDCl₃, 300 MHz) δ 9.60–8.90 (1 H, m, OH), 8.32 (1 H, dd, J = 5.0, 1.5 Hz, H-2), 7.66 (1 H, dd, J = 7.5, 1.5 Hz, H-4), 7.21 (1 H, d, J = 8.3 Hz, H-10), 7.12-7.03 (2 H, m), 6.99 (1 H, d, J = 7.03 H)2.0 Hz, H-7), 3.41 (1 H, d, J = 16.5 Hz, H-6), 3.05–2.94 (1 H, m), 2.85 (1 H, d, J = 16.5 Hz, H-6'), 2.90-2.73 (1 H, m), 2.48-2.36 (1 H, m)H, m), 2.29 (3 H, s, NCH₃), 2.21-1.23 (6 H, m); MS (EI) m/e (%) 358 (M⁺, 8.4), 356 (M⁺, 24), 260 (13), 258 (30), 98 (100), 96 (78). Anal. Calcd for $C_{20}H_{21}N_2ClO_2 \cdot 0.125(C_3H_7)_2O$: C, 67.42; H, 6.20; N, 7.58; Cl, 9.59. Found: C, 67.75; H, 6.43; N, 7.24; Cl, 9.37.

8-Chloro-6,11-dihydro-11-(1-methyl-4-piperidinylidene)-5H-benzo[5,6]cyclohepta[1,2-b]pyridin-5-one (14d). Method A. A mixture of 3.58 g (10.0 mmol) of hemiketal 13 in 50 mL of trifluoromethanesulfonic acid was heated at 45 °C under an argon atmosphere. After 3 h the mixture was poured onto ice, basified with 25% aqueous sodium hydroxide (w/v), and extracted with methylene chloride $(3\times)$. The combined organic portions were washed with brine, dried over Na₂SO₄, filtered, concentrated in vacuo, and chromatographed on silica gel (5% methanol in methylene chloride) to give 1.703 g (50% or 58% based on recovered 13) of olefinic ketone 14d. An analytical sample was prepared by recrystallization of the product with ethyl acetate/isopropyl ether to give 14d as an off-white solid: mp 162-163 °C dec; IR (CHCl₃) 1680, 1580 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.73 (1 H, dd, J = 4.7, 1.6 Hz, H-2), 8.41 (1 H, dd, J = 8.0, 1.6 Hz, H-4), 7.36–7.21 (4 H, m), 4.40 (1 H, d, J = 14.0 Hz, H-6), 3.84 (1 H, d, J = 14.0 Hz, H-6'), 2.85-2.66 (3 H, m), 2.62-2.24 (3 H, m)m), 2.29 (3 H, s, NCH₃), 2.19–2.00 (2 H, m); MS (EI) m/e (%) 340 (M⁺, 23), 338 (M⁺, 67), 296 (40), 295 (75), 294 (71), 96 (58). Anal. Calcd for C₂₀H₁₉N₂ClO: C, 70.90; H, 5.65; N, 8.27; Cl, 10.46. Found: C, 70.57; H, 5.52; N, 8.18; Cl, 10.23.

Method B. A mixture of 576 mg (2.12 mmol) of the 5-methoxy carbinol 12b in 95% aqueous sulfuric acid was stirred at room temperature and under an argon atmosphere. After 40 min 5 mL of trifluoromethanesulfonic acid was added, and the mixture was stirred at room temperature for 1 h, then at 45-55 °C for 2.5 h, and finally at 60-70 °C for 2.66 h. The mixture was cooled to room temperature, basified with 15% aqueous sodium hydroxide (w/v), and extracted with methylene chloride (7×). The combined organic portions were dried over MgSO₄, filtered, and concentrated in vacuo to give a residue, which was purified via flash chromatography (10% methanol in methylene chloride) to yield 128 mg (16%) of olefinic ketone 14d which was identical with the material prepared in method A above.

Ethyl 4-[8-Chloro-5-[(ethoxycarbonyl)oxy]-11H-benzo-[5,6]cyclohepta[1,2-b]pyridin-11-ylidene]-1-piperidinecarboxylate (18a). To a solution of 617 mg (1.82 mmol) of olefinic ketone 14d and 0.50 mL (3.58 mmol) of triethylamine in 12 mL of toluene at 80 °C and under an argon atmosphere was added dropwise over 2 min 0.87 mL (9.10 mmol) of ethyl chloroformate. After 25 min the mixture was cooled to room temperature, filtered, and concentrated in vacuo. The crude product was purified via flash chromatography (1% methanol in methylene chloride) to afford 834 mg (98%) of ethyl carbamate 18a as a glass: IR (CHCl₃) 1766, 1682 cm⁻¹; ¹H NMR (CDCl₃, 200 MHz) δ 8.67 (1 H, dd, J = 4.8, 1.7 Hz, H-2), 7.83 (1 H, dd, J = 8.0, 1.7 Hz, H-4), 7.41-7.23 (4 H, m), 6.88 (1 H, s, H-6), 4.25 (2 H, q, J = 7.1 Hz, OCH₂), 4.11 $(2 \text{ H}, \text{q}, J = 7.1 \text{ Hz}, \text{OCH}_2), 3.90-3.71 (2 \text{ H}, \text{m}), 3.10-2.83 (2 \text{ H}, \text{m})$ m), 2.40-2.00 (4 H, m), 1.35 (3 H, t, J = 7.1 Hz, CH₃), 1.23 (3 H, t, J = 7.1 Hz, CH₃); MS (FAB, thioglycerol matrix) m/z (%) 471 $(M^+ + H, 38), 469 (M^+ + H, 100)$. Anal. Calcd for $C_{25}H_{25}N_2ClO_5$: C, 64.03; H, 5.37; N, 5.97; Cl, 7.56. Found: C, 64.33; H, 5.21; N, 5.91; Cl, 7.50.

8-Chloro-6,11-dihydro-11-(4-piperidinylidene)-5H-benzo-[5,6]cyclohepta[1,2-b]pyridin-5-one (19). A mixture of 897 mg (1.91 mmol) of ethyl carbamate 18a and 20 mL of 13% aqueous potassium hydroxide (w/v) in 15 mL of ethanol was refluxed under an argon atmosphere for 25 h. The mixture was poured into water and extracted with chloroform $(3\times)$. The combined organic portions were washed with brine, dried over Na_2SO_4 , filtered, and concentrated in vacuo. The residue was purified via flash chromatography (2% methanol saturated with ammonia in methylene chloride) and triturated with isopropyl ether to give 417 mg (67%) of amino ketone 19 as a white solid: mp 194-196 °C dec; IR $(CHCl_3)$ 3020, 1680, 1582 cm⁻¹; ¹H NMR (\hat{CDCl}_3 , 200 MHz) δ 8.72 (1 H, dd, J = 4.7, 1.9 Hz, H-2), 8.40 (1 H, dd, J = 8.0, 1.9 Hz, H-4), 7.35-7.20 (4 H, m), 4.40 (1 H, d, J = 14.1 Hz, H-6), 3.84 (1 H, d, J = 14.1 Hz, H-6'), 3.22–3.01 (2 H, m), 2.82–2.39 (4 H, m), 2.37–2.25 $(2 \text{ H, m}); \text{ MS (EI) } m/e (\%) 326 (M^+, 27), 324 (M^+, 80), 297 (35),$ 296 (59), 295 (94), 294 (100), 280 (42). Anal. Calcd for C₁₉H₁₇N₂ClO: C, 70.26; H, 5.28; N, 8.63; Cl, 10.92. Found: C, 70.07; H, 5.23; N, 8.44; Cl, 10.93.

2,2,2-Trichloroethyl 4-[8-Chloro-5-[[[(2,2,2-trichloroethyl)oxy]carbonyl]oxy]-11*H*-benzo[5,6]cyclohepta[1,2-*b*]pyridin-11-ylidene]-1-piperidinecarboxylate (18b). To a mixture of 121 mg (0.357 mmol) of olefinic ketone 14d and 75 μ L (0.537 mmol) of triethylamine in 3 mL of dry toluene at 80 °C and under an argon atmosphere was added dropwise over 20

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min 250 μ L (1.82 mmol) of [(2,2,2-trichloroethyl)oxy]carbonyl chloride. After 30 min the mixture was poured into 30% aqueous sodium hydroxide (w/v) and extracted with methylene chloride (3×). The combined organic portions were dried over MgSO₄, filtered, concentrated in vacuo, and purified via flash chromatography (0.5–1% methanol in methylene chloride) to give 192 mg (80%) of troc-carbamate 18b as a glass: IR (CHCl₃) 1778, 1710 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.71 (1 H, dd, J = 4.4, 1.5 Hz, H-2), 7.88 (1 H, dd, J = 8.1, 1.5 Hz, H-4), 7.46–7.18 (4 H, m), 6.97 (1 H, s, H-6), 4.90–4.64 (4 H, m, OCH₂), 4.01–3.80 (2 H, m), 3.20–2.88 (2 H, m), 2.44–2.22 (3 H, m), 2.21–2.08 (1 H, m); MS (FAB, thioglycerol matrix) m/z (%) 679 (M⁺ + H, 47), 677 (M⁺ + H, 47), 673 (M⁺ + H, 48), 641 (17), 527 (18), 501 (26), 499 (23). HRMS (FAB, thioglycerol matrix) m/z calcd for C₂₅H₂₀N₂Cl₇O₅ (M⁺ + H) 672.9192, found 672.9172.

2,2,2-Trichloroethyl 4-(8-Chloro-5,6-dihydro-5-hydroxy-11H-benzo[5,6]cyclohepta[1,2-b]pyridin-11-ylidene)-1piperidinecarboxylate (20). To a mixture of 25 mg (0.037 mmol) of troc-carbamate 18b in 2 mL of dry methanol at 0 °C and under an argon atmosphere was added in one portion 11 mg (0.29 mmol) of sodium borohydride. After 1 h the mixture was quenched with water and extracted with methylene chloride $(4\times)$. The combined organic portions were dried over MgSO₄, filtered, and concentrated in vacuo to yield 15.5 mg (83%) of hydroxy carbamate 20 as a glass: IR (CHCl₃) 3575, 1710 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.53–8.45 (1 H, m, H-2), 7.91 (0.7 H, dd, J = 8.0, 1.5 Hz, H-4), 7.82 (0.3 H, dd, J = 8.2, 1.5 Hz, H-4), 7.34–7.11 (4 H, m), 5.22 (0.7 H, dd, J = 6.8, 3.3 Hz, H-5), 4.87 (0.3 H, dd, J = 11.8, 5.2Hz, H-5), 4.77 (2 H, s, OCH₂), 4.03–3.73 (2 H, m), 3.60 (0.7 H, dd, J = 15.0, 3.3 Hz, H-6), 3.51 (0.3 H, dd, J = 13.0, 11.8 Hz, H-5'), 3.43-3.14 (2 H, m), 3.08 (0.3 H, dd, J = 13.0, 5.2 Hz, H-5), 3.01(0.7 H, dd, J = 15.0, 6.8 Hz, H-6'), 2.76-2.22 (4 H, m); MS (FAB, thioglycerol matrix) m/z (%) 507 (M⁺ + H, 11), 505 (M⁺ + H, 44), 503 (M^+ + H, 100), 501 (M^+ + H, 88), 587 (18), 585 (30), 583 (22); HRMS (FAB, thioglycerol matrix) m/z calcd for $C_{25}H_{20}$ - $N_2Cl_4O_3$ (M⁺ + H) 501.0306, found 501.0302.

8-Chloro-6,11-dihydro-5-hydroxy-11-(4-piperidinylidene)-5H-benzo[5,6]cyclohepta[1,2-b]pyridine (3b). Method A. To a mixture of 457 mg (1.41 mmol) of amino ketone 19 in 30 mL of methanol at 0 °C and under an argon atmosphere was added in three portions each 5 min apart 263 mg (6.95 mmol) of sodium borohydride. After 1.8 h the mixture was poured into water and extracted with methylene chloride $(3\times)$ followed by ethyl acetate $(3\times)$. The combined organic portions were dried over $MgSO_4$, filtered, and concentrated in vacuo to afford a product which was precipitated out of 10% methanol saturated with ammonia in methylene chloride to give 410 mg (89%) of the 5-hydroxy compound 3b as a white solid. The product is unstable in chlorinated solvents for extended periods of time possibly due to formation of its hydrochloride salt. It could be further purified by crystallization of the product from ethanol to yield the 5hydroxy compound 3b as a white solid: mp 245-248 °C dec; ¹H NMR (CD_3OD , 400 MHz) δ 8.39 (0.33 H, dd, J = 4.8, 1.6 Hz, H-2), 8.37 (0.67 H, dd, J = 5.0, 1.7 Hz, H-2), 7.99 (0.67 H, dd, J = 7.9, 1.7 Hz, H-4), 7.91 (0.33 H, dd, J = 7.9, 1.6 Hz, H-4), 7.39 (0.33 H, d, J = 1.7 Hz, H-7), 7.37 (0.67 H, dd, J = 7.9, 5.0 Hz, H-3), 7.32 (0.33 H, dd, J = 7.9, 4.8 Hz, H-3), 7.22-7.16 (1.67 H, m, H-9)H-7), 7.14 (0.67 H, d, J = 8.2 Hz, H-10), 7.11 (0.33 H, d, J = 8.0Hz, H-10), 5.33 (0.67 H, dd, J = 9.2, 4.3 Hz, H-5), 4.76 (0.33 H, dd, J = 11.7, 5.3 Hz, H-5), 3.57 (0.67 H, dd, J = 16.2, 4.3 Hz, H-6), 3.54 (0.33 H, dd, J = 12.6, 11.7 Hz, H-6'), 3.04 (0.33 H, dd, J = 12.6, 5.3 Hz, H-6), 2.88 (0.67 H, dd, J = 16.2, 9.2 Hz, H-6'), 3.09-2.08 (8 H, m); MS (EI) m/e (%) 328 (M⁺, 34), 326 (M⁺, 100), 298 (39), 297 (71), 296 (65), 282 (44), 266 (35); HRMS (FAB, this glycerol matrix) m/z calcd for $C_{19}H_{19}N_2ClO$ (M⁺ + H) 327.1264, found 327.1286.

Method B. A mixture of 116 mg (0.231 mmol) of the 5-hydroxy carbamate 20 and 137 mg (2.10 mmol) of zinc dust in 5 mL of glacial acetic acid was heated at 60-70 °C under an argon atmosphere for 6 h. Additional amounts of zinc dust were added after 2, 3.5, and 5 h [122 mg (1.87 mmol), 137 mg (2.10 mmol) and 145 mg (2.22 mmol), respectively]. The reaction mixture was basified with ice-cold 15% aqueous sodium hydroxide (w/v) and extracted with methylene chloride containing 10% methanol (5×). The combined organic portions were dried over MgSO₄, filtered, concentrated in vacuo, and purified via flash chromatography

(10% methanol-10% methanol saturated with ammonia in chloroform) to give 52 mg (69%) of the 5-hydroxy compound **3b** which was identical with the material prepared via method A above.

8-Chloro-6,11-dihydro-6-hydroxy-11-(1-methyl-4piperidinylidene)-5*H*-benzo[5,6]cyclohepta[1,2-*b*]pyridine (21). To a mixture of 277 mg (0.818 mmol) of olefinic ketone 14c in 14 mL of methanol at 0 °C and under a nitrogen atmosphere was added in two portions 10 min apart 156 mg (4.14 mmol) of sodium borohydride. After 1 h, during which the mixture was slowly allowed to warm to room temperature, it was poured into water and extracted with methylene chloride $(2\times)$. The combined organic portions were washed with brine, dried over Na₂SO₄, filtered, and concentrated in vacuo to afford a product which was crystallized from ethyl acetate/diethyl ether to provide 270 mg (97%) of the N-methyl alcohol 21 as a yellowish solid: mp 222-225 °C dec; IR (CHCl₃) 3585, 3000, 1590, 1567 cm⁻¹; ¹H NMR (CD₃OD, 400 MHz) δ 8.33 (0.7 H, dd, J = 4.8, 1.6 Hz, H-2), 8.31 (0.3 H, dd, J = 5.0, 1.5 Hz, H-2), 7.80 (0.3 H, dd, J = 7.6, 1.5 Hz, H-4), 7.63 (0.7 H, dd, J = 7.8, 1.6 Hz, H-4), 7.56 (0.7 H, d, J = 2.1 Hz, H-7), 7.47 (0.3 H, d, J = 2.1 Hz, H-7), 7.29–7.21 (2 H, m), 7.15 (0.3 H, d, J = 8.3 Hz, H-10), 7.12 (0.7 H, d, J = 8.2 Hz, H-10),5.30 (0.7 H, dd, J = 9.0, 4.2 Hz, H-6), 4.72 (0.3 H, dd, J = 11.6)5.5 Hz, H-6), 3.55 (0.7 H, dd, J = 16.0, 4.2 Hz, H-5), 3.50 (0.3 H, dd, J = 13.2, 11.6 Hz, H-5'), 3.10 (0.3 H, dd, J = 13.2, 5.5 Hz, H-5), 2.91 (0.7 H, dd, J = 16.0, 9.0 Hz, H-5'), 2.79-2.66 (2 H, m), 2.60-2.07 (6 H, m), 2.28 (0.9 H, s, NCH₃), 2.27 (2.1 H, s, NCH₃); MS (EI) m/e (%) 342 (M⁺, 8.1), 340 (M⁺, 23), 297 (27), 296 (24), 282 (16). Anal. Calcd for C₂₀H₂₁N₂ClO: C, 70.48; H, 6.21; N, 8.22; Cl, 10.40. Found: C, 70.35; H, 6.31; N, 7.90; Cl, 10.17.

N-(1,1-Dimethylethyl)-3-[1-methyl-2-(3-chlorophenyl)ethyl]-2-pyridinecarboxamide (24). To a mixture of 19.26 g (100 mmol) of tert-butyl amide 23¹⁰ in 200 mL of dry tetrahydrofuran at -45 °C and under an argon atmosphere was added dropwise, so that the internal temperature did not exceed -40 °C, 82 mL of 2.5 M n-butyllithium (205 mmol) in hexane. The resultant deep purple solution was stirred at this temperature for 30 min after which 7.40 mL (119 mmol) of methyl iodide was added dropwise so that the internal temperature did not exceed -20 °C. After the mixture was cooled back down to -45 °C and stirred for 10 min, another 43 mL of 2.5 M n-butyllithium (108 mmol) in hexane was added dropwise so that the internal temperature again did not exceed -40 °C. After 15 min 7.06 g (69 mmol) of sodium bromide was added followed by 14.0 mL (110 mmol) of 3-chlorobenzyl chloride so that the internal temperature did not exceed -40 °C. The reaction mixture was stirred for 1 h while it warmed to -5 °C. It was then guenched with water and extracted with ethyl acetate $(2\times)$. The combined organic portions were washed with brine, dried over Na₂SO₄, filtered, and concentrated in vacuo to give 33.0 g (100%) of tert-butyl amide 24 as an oil. The crude material was pure enough to be utilized directly in the next step. However, an analytical sample was prepare via flash chromatography (15% ethyl acetate in hexanes) to give tert-butyl amide 24 as a colorless oil: IR (CHCl₃) 3360, 1669 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.35 (1 H, dd, J = 4.6, 1.6 Hz, H-2), 7.72 (1 H, dd, J = 8.0, 1.6 Hz, H-4), 7.56 (1 H, b s, NH), 7.33 (1 H, dd, J = 8.0, 4.6 Hz, H-3), 7.18-6.98 (4 H, m), 4.63 (1 H, ddq, J = 8.0, 6.8, 7.0 Hz, CHCH₂), 2.95 (1 H, dd, J =13.4, 6.8 Hz, CHCHH), 2.71 (1 H, dd, J = 13.4, 8.0 Hz, CHCHH), 1.47 (9 H, s, NCMe₃), 1.25 (3 H, d, J = 7.0 Hz, CH₃); MS (EI) m/e (%) 332 (M⁺, 17), 330 (M⁺, 50), 275 (38), 273 (100), 258 (28), 256 (43), 230 (27), 228 (34). Anal. Calcd for C₁₉H₂₃N₂ClO: C, 68.98; H, 7.01; N, 8.47; Cl, 10.72. Found: C, 68.73; H, 6.85; N, 8.23; Cl, 10.49.

3-[1-Methyl-2-(3-chlorophenyl)ethyl]-2-pyridinecarbonitrile (25). A mixture of 33.0 g (99.8 mmol) of *tert*-butyl amide 24 in 130 mL of phosphorous oxychloride was refluxed under an argon atmosphere for 1.75 h. The mixture was cooled, carefully poured onto ice [*Caution*: rapid evolution of HCl gas and heat], basified with cooling using 50% aqueous sodium hydroxide (w/v), and extracted with methylene chloride (3×). The combined organic portions were dried over Na₂SO₄, filtered, and concentrated in vacuo. The resultant black oil was dissolved in ethyl acetate, treated with activated charcoal, filtered, and concentrated in vacuo again to afford 19.3 g (75%) of pyridyl nitrile 25 as a white solid: mp 56-57 °C; IR (CHCl₃) 2225 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.57 (1 H, dd, J = 4.7, 1.6 Hz, H-2), 7.72 (1 H, dd, J = 8.1, 1.6 Hz, H-4), 7.49 (1 H, dd, J = 8.1, 4.7 Hz, H-3), 7.25–7.15 (2 H, m), 7.12–6.98 (2 H, m), 3.57 (1 H, ddq, J = 8.1, 6.7, 6.6 Hz, CHCH₂), 2.96 (1 H, dd, J = 13.6, 6.7 Hz, CHCHH), 2.83 (1 H, dd, J = 13.6, 8.1 Hz, CHCHH), 1.33 (3 H, d, J = 6.6 Hz, CH₃); MS (FAB, thioglycerol matrix) m/z (%) 259 (M⁺ + H, 36), 257 (M⁺ + H, 100). Anal. Calcd for C₁₅H₁₃N₂Cl: C, 70.18; H, 5.10; N, 10.91; Cl, 13.81. Found: C, 70.19; H, 5.20; N, 10.93; Cl, 13.70.

8-Chloro-5,6-dihydro-5-methyl-11H-benzo[5,6]cyclohepta[1,2-b]pyridin-11-one (26). A mixture of 13.5 g (55.8 mmol) of pyridyl nitrile 25 in 335 g of polyphosphoric acid was heated between 190 and 200 °C under an argon atmosphere for 2 h. The mixture was poured onto ice, acidified with concentrated hydrochloric acid, stirred for 1 h, and extracted with ether $(1\times)$. The aqueous phase was basified to pH ~ 12 with aqueous sodium hydroxide and extracted with methylene chloride, which was dried over Na₂SO₄, filtered, and concentrated in vacuo. The residue was dissolved in ethyl acetate, treated with activated carbon. filtered, concentrated again, and triturated with pentane/isopropyl ether/ethyl acetate to give 4.91 g (34%) of the 5-methyl ketone 26 as a white solid: mp 78-80 °C; IR (CHCl₃) 1667 cm⁻¹; ¹H NMR $(\text{CDCl}_3, 400 \text{ MHz}) \delta \hat{8}.68 (1 \text{ H}, \text{dd}, J = 4.6, 1.6 \text{ Hz}, \text{H-2}), 7.99 (1$ H, d, J = 8.4 Hz, H-10), 7.68 (1 H, dd, J = 7.8, 1.6 Hz, H-4), 7.40 (1 H, dd, J = 7.8, 4.6 Hz, H-3), 7.33 (1 H, dd, J = 8.4, 2.1 Hz, H-9).7.23 (1 H, d, J = 2.1 Hz, H-7), 3.46–3.37 (2 H, m, H-5, H-6), 3.05 $(1 \text{ H}, \text{ dd}, J = 16.6, 8.3 \text{ Hz}, \text{H-6'}), 1.35 (3 \text{ H}, \text{d}, J = 7.0 \text{ Hz}, \text{CH}_3);$ ¹H NMR (C_6D_6 , 400 MHz) δ 8.44 (1 H, dd, J = 4.6, 1.5 Hz, H-2), 7.88 (1 H, d, J = 8.6 Hz, H-10), 6.87 (1 H, dd, J = 8.6, 2.0 Hz, H-9), 6.84 (1 H, dd, J = 7.9, 1.5 Hz, H-4), 6.70 (1 H, d, J = 2.0Hz, H-7), 6.65 (1 H, dd, J = 7.9, 4.6 Hz, H-3), 2.59 (1 H, ddq, J = 9.2, 2.1, 7.0 Hz, H-5), 2.43 (1 H, dd, J = 17.0, 2.1 Hz, H-6), 2.19 $(1 \text{ H}, \text{ dd}, J = 17.0, 9.2 \text{ Hz}, \text{H-6'}), 0.75 (3 \text{ H}, \text{d}, J = 7.0 \text{ Hz}, \text{CH}_3);$ MS (EI) m/e (%) 259 (M⁺, 28), 257 (M⁺, 82), 256 (59), 244 (27), 242 (81), 229 (30), 222 (100), 216 (22), 215 (33), 214 (56). Anal. Calcd for C15H12NCIO: C, 69.91; H,4.69; N, 5.44; Cl, 13.76. Found: C, 69.70; H, 4.58; N, 5.36; Cl, 13.66.

(1-Methyl-4-piperidinyl)[3-[1-methyl-2-(3-chlorophenyl)ethyl]-2-pyridinyl]methanone (27). To a mixture of 429 mg (1.81 mmol) of pyridyl nitrile 25 in 10 mL of dry tetrahydrofuran at 50-60 °C and under a nitrogen atmosphere was added dropwise over 10 min 2.5 mL (3.75 mmol) of a 1.5 M Grignard solution of 4-chloro-1-methylpiperidine⁹ in tetrahydrofuran. The mixture was then heated at this temperature for 30 min, after which it was cooled to room temperature and quenched with 10 mL of water. A solution (10 mL) of 10% aqueous hydrochloric acid was added, and the mixture was stirred for 1.5 h. It was then basified with 20% aqueous sodium hydroxide and extracted with ethyl acetate $(3\times)$. The combined organic portions were washed with brine, dried over MgSO₄, filtered, and concentrated in vacuo to give a product, which was purified via flash chromatography (8% methanol in methylene chloride) to give 406 mg (63%) of piperidyl ketone 27 as a colorless oil: IR (CHCl₃) 1696 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.47 (1 H, dd, J = 4.6, 1.5 Hz, H-2), 7.77 (1 H, dd, J = 8.0, 1.5 Hz, H-4), 7.39 (1 H, dd, J = 8.0, 4.6 Hz, H-3), 7.19-7.09 (2 H, m), 7.06-7.02 (1 H)H, m), 7.00–6.94 (1 H, m), 3.63 (1 H, ddq, J = 7.9, 7.0, 6.9 Hz, $CHCH_2$), 3.62–3.47 (1 H, m, COCH), 2.96 (1 H, dd, J = 13.5, 7.0Hz, CHCHH), 2.96-2.79 (2 H, m), 2.69 (1 H, dd, J = 13.5, 7.9 Hz, CHCHH), 2.30 (3 H, s, NCH₃), 2.15-1.97 (2 H, m), 1.90-1.47 (4 H, m), 1.24 (3 H, d, J = 6.9 Hz, CH₃); MS (FAB, thioglycerol matrix) m/z (%) 359 (M⁺ + H, 35), 357 (M⁺ + H, 100), 356 (22), 355 (48). Anal. Calcd for $C_{21}H_{25}N_2ClO$: C, 70.67; H, 7.06; N, 7.85; Cl, 9.93. Found: C, 70.51; H, 7.13; N, 7.73; Cl, 9.95.

8-Chloro-6,11-dihydro-5-methyl-11-(4-piperidinylidene)-5H-benzo[5,6]cyclohepta[1,2-b]pyridine (22). A mixture of 266 mg (0.745 mmol) of piperidyl ketone 27 in 5 mL of tri-

fluoromethanesulfonic acid was heated between 60 and 70 °C under a nitrogen atmosphere for 21 h. The mixture was poured into an ice-cold solution of 10% aqueous sodium hydroxide (w/v) and extracted with methylene chloride $(4\times)$. The combined organic portions were dried over MgSO4, filtered, and concentrated in vacuo. The residue was purified via flash chromatography (5% methanol saturated with ammonia in methylene chloride) to give 225 mg (89%) of the 5-methyl piperidinylidene 22 as a glass: ¹H NMR (CDCl₃, 400 MHz) δ 8.40 (0.55 H, dd, J = 5.1, 1.5 Hz, H-2), 8.38 (0.45 H, dd, J = 5.0, 1.8 Hz, H-2), 7.54 (1.65 H, dd, J = 7.8)1.5 Hz, H-4), 7.41 (0.45 H, dd, J = 7.9, 1.8 Hz, H-4), 7.23 (0.45 H, d, J = 1.6 Hz, H-7), 7.18–7.04 (3.55 H, m), 3.59 (0.55 H, ddq, J = 10.1, 4.0, 6.9 Hz, H-5), 3.43 (0.55 H, dd, J = 16.3, 4.0 Hz, H-6), 3.20 (0.45 H, dd, J = 13.1, 12.5 Hz, H-6'), 3.09 (0.45 H, ddq, J = 12.5, 5.2, 6.7 Hz, H-5), 2.75 (0.45 H, dd, J = 13.1, 5.2 Hz, H-6), 2.58 (0.55 H, dd, J = 16.3, 10.1 Hz, H-6'), 2.28 (1.35 H, s, NCH₂), 2.27 (1.65 H, s, NCH₃), 2.84–1.98 (8 H, m), 1.40 (1.35 H, d, J = 6.7 Hz, CH₃), 1.33 (1.65 H, d, J = 6.9 Hz, CH₃); MS (EI) m/e (%) 340 (M⁺, 17), 338 (M⁺, 50), 298 (39), 296 (25), 295 (51), 294 (48), 282 (21), 281 (22), 280 (46), 268 (19), 266 (44). Anal. Calcd for C₂₁H₂₃N₂Cl: C, 74.43; H, 6.84; N, 8.27; Cl, 10.46. Found: C, 74.43; H. 7.03; N, 8.00; Cl, 10.11.

8-Chloro-11-(1-methyl-1-oxo-4-piperidinylidene)-6,11-dihydro-5H-benzo[5,6]cyclohepta[1,2-b]pyridine (29). To a mixture of 106 mg (0.326 mmol) of the N-methyl amine 28^{16} in 5 mL of dry methylene chloride at 0 °C and under an argon atmosphere was added dropwise a solution of 58 mg (0.336 mmol) of m-chloroperoxybenzoic acid in 1.5 mL of dry methylene chloride. The reaction mixture was slowly allowed to warm to room temperature. After 3 days it was poured into 10% aqueous sodium hydroxide (w/v) and extracted with methylene chloride $(3\times)$. The combined organic portions were dried over MgSO₄, filtered, concentrated in vacuo, and purified via flash chromatography to afford 109 mg (98%) of amine oxide 29 as a tan solid: mp 186–188 °C dec; IR (CHCl₃) 955 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 8.42 (0.33 H, dd, J = 4.9, 1.5 Hz, H-2), 8.38 (0.67 H, dd, J = 4.8, 1.6 Hz, H-2), 7.48–7.40 (1 H, m, H-4), 7.22–7.03 (4 H, m), 3.58 (0.67 H, td, J = 11.4, 3.8 Hz), 3.51 - 3.15 (5.33 H, m), 3.30 (2)H, s, NCH₃), 3.26 (1 H, s, NCH₃), 3.08–2.38 (6 H, m); MS (EI) m/e (%) 342 (M⁺, 1.8), 340 (M⁺, 7.5), 324 (52), 282 (46), 281 (96), 281 (96), 282 (46), 281 (96), 281 (96), 282 (46), 281 (96), 281 (280 (91), 266 (80). Anal. Calcd for C₂₀H₂₁N₂ClO-0.5H₂O: C, 68.66; H, 6.05; N, 8.01; Cl, 10.13. Found: C, 68.64; H, 6.39; N, 7.65; Cl, 10.28

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